



APPLICATION FORM FOR PORTFOLIO MANAGEMENT

I. Background Information:

Individual/ Firm _____

Street _____

City _____ Pin code _____

State _____ Country _____

Phone: _____ Fax: _____

E-mail: _____

II. What kind of Portfolio Management would you like Oxus to pursue on your behalf
%*

Aggressive growth, high risk, high returns; Oxus scheme- NOMAD _____

Steady growth, low risk, steady returns; Oxus scheme- Mulberry _____

*(Percentages should add to 100)

III. Voluntary- What is your total Net worth/Annual Income (in' 000) –Tick one

	<100	100-500	500-1 Million	>1 million
Net worth				
Annual Income				

IV. Fees:

Asset Management fees are 1% (annualized rate) of assets managed plus 15% of net profits, computable at the end of every calendar year.

V. Brokerage & Custodian Charges:

Brokerage: 0.25% for demat stocks.

Custodian: 0.04% of sale value.



VI. Instructions for transfer of Funds to Oxus Fund Management:

1. Make cheques payable to “**Oxus Fund Mangement.**”

VII. Terms of Agreement:

- Oxus Fund Management (OFM) received its Portfolio Managers license from Securities Exchange Board of India (SEBI) in September 1998. Its performance record is attached.
- The Client has agreed to retain the Manager as a Portfolio Manager/ Investment Advisor.
- The Client has carefully read, completed and signed the application form provided by the OFM, which clearly states the objectives, risk profiles and the nature of the fund management agreement.
- OFM shall abide by the Code of Conduct specified in Regulation 13 of the SEBI Portfolio Management Regulation 1993.
- OFM will provide a monthly statement of transactions and profit & loss account to the Client.
- Either party can terminate this agreement at any time. Refunds within 15 days of a written notice.

Date:

Signature:

Name:

**** INVESTMENTS IN EQUITIES IS RISKY.
** PAST PERFORMANCE IS NOT NECESSARILY INDICATIVE OF
FUTURE PERFORMANCE**